

# PCT

## REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference  
(if desired) (12 characters maximum)

<b>Box No. I TITLE OF INVENTION</b>	
<b>Box No. II APPLICANT</b> <input type="checkbox"/> This person is also inventor	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)	Telephone No.
	Facsimile No.
	Teleprinter No.
	Applicant's registration No. with the Office
State (that is, country) of nationality:	State (that is, country) of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<b>Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)</b>	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only (If this check-box is marked, do not fill in below.)
	Applicant's registration No. with the Office
State (that is, country) of nationality:	State (that is, country) of residence:
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<input type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet.	
<b>Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE</b>	
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: <input type="checkbox"/> agent <input type="checkbox"/> common representative	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)	Telephone No.
	Facsimile No.
	Teleprinter No.
	Agent's registration No. with the Office
<input type="checkbox"/> <b>Address for correspondence:</b> Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.	

**Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)**

*If none of the following sub-boxes is used, this sheet should not be included in the request.*

Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i>	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i>
Applicant's registration No. with the Office	

State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
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This person is applicant for the purposes of:	<input type="checkbox"/> all designated States	<input type="checkbox"/> all designated States except the United States of America	<input type="checkbox"/> the United States of America only	<input type="checkbox"/> the States indicated in the Supplemental Box
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Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i>	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i>
Applicant's registration No. with the Office	

State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
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This person is applicant for the purposes of:	<input type="checkbox"/> all designated States	<input type="checkbox"/> all designated States except the United States of America	<input type="checkbox"/> the United States of America only	<input type="checkbox"/> the States indicated in the Supplemental Box
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Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i>	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i>
Applicant's registration No. with the Office	

State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
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This person is applicant for the purposes of:	<input type="checkbox"/> all designated States	<input type="checkbox"/> all designated States except the United States of America	<input type="checkbox"/> the United States of America only	<input type="checkbox"/> the States indicated in the Supplemental Box
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Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i>	This person is: <input type="checkbox"/> applicant only <input type="checkbox"/> applicant and inventor <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i>
Applicant's registration No. with the Office	

State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
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This person is applicant for the purposes of:	<input type="checkbox"/> all designated States	<input type="checkbox"/> all designated States except the United States of America	<input type="checkbox"/> the United States of America only	<input type="checkbox"/> the States indicated in the Supplemental Box
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<input type="checkbox"/> Further applicants and/or (further) inventors are indicated on another continuation sheet.
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**Supplemental Box**      *If the Supplemental Box is not used, this sheet should not be included in the request.*

1. *If, in any of the Boxes, except Boxes Nos. VIII(i) to (v) for which a special continuation box is provided, **the space is insufficient** to furnish all the information: in such case, write "Continuation of Box No...." (indicate the number of the Box) and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient, in particular:*
  - (i) *if more than two persons are to be indicated as applicants and/or inventors and no "continuation sheet" is available: in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below;*
  - (ii) *if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "**the States indicated in the Supplemental Box**" is checked: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is applicant;*
  - (iii) *if, in Box No. II or in any of the sub-boxes of Box No. III, **the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America**: in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, Eurasian, European or OAPI patent) for the purposes of which the named person is inventor;*
  - (iv) *if, in addition to the agent(s) indicated in Box No. IV, there are **further agents**: in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;*
  - (v) *if, in Box No. VI, there are **more than three earlier applications whose priority is claimed**: in such case, write "Continuation of Box No. VI" and indicate for each additional earlier application the same type of information as required in Box No. VI.*
2. *If the applicant intends to make an indication of the wish that the international application be treated, in certain designated States, as an application for a patent of addition, certificate of addition, inventor's certificate of addition or utility certificate of addition: in such a case, write the name or two-letter code of each designated State concerned and the indication "**patent of addition,**" "**certificate of addition,**" "**inventor's certificate of addition**" or "**utility certificate of addition,**" the number of the parent application or parent patent or other parent grant and the date of grant of the parent patent or other patent grant or the date of filing of the parent application (Rules 4.11(a)(iii) and 49bis.1(a) or (b)).*
3. *If the applicant intends to make an indication of the wish that the international application be treated, in the United States of America, as a continuation or continuation-in-part of an earlier application: in such a case, write "United States of America" or "US" and the indication "**continuation**" or "**continuation-in-part**" and the number and the filing date of the parent application (Rules 4.11(a)(iv) and 49bis.1(d)).*



**Box No. VIII (i) DECLARATION: IDENTITY OF THE INVENTOR**

*The declaration must conform to the standardized wording provided for in Section 211; see Notes to Boxes Nos. VIII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (i). If this Box is not used, this sheet should not be included in the request.*

Declaration as to the identity of the inventor (Rules 4.17(i) and 51bis.1(a)(i)):

This declaration is continued on the following sheet, "Continuation of Box No. VIII (i)".

**Box No. VIII (ii) DECLARATION: ENTITLEMENT TO APPLY FOR AND BE GRANTED A PATENT**

*The declaration must conform to the standardized wording provided for in Section 212; see Notes to Boxes Nos. VIII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (ii). If this Box is not used, this sheet should not be included in the request.*

Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:

This declaration is continued on the following sheet, "Continuation of Box No. VIII (ii)".

**Box No. VIII (iii) DECLARATION: ENTITLEMENT TO CLAIM PRIORITY**

*The declaration must conform to the standardized wording provided for in Section 213; see Notes to Boxes Nos. VIII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (iii). If this Box is not used, this sheet should not be included in the request.*

Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii)):

This declaration is continued on the following sheet, "Continuation of Box No. VIII (iii)".

**Box No. VIII (iv) DECLARATION: INVENTORSHIP (only for the purposes of the designation of the United States of America)**

*The declaration must conform to the following standardized wording provided for in Section 214; see Notes to Boxes Nos. VIII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (iv). If this Box is not used, this sheet should not be included in the request.*

**Declaration of inventorship (Rules 4.17(iv) and 51bis.1(a)(iv))  
for the purposes of the designation of the United States of America:**

I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.

This declaration is directed to the international application of which it forms a part (if filing declaration with application).

This declaration is directed to international application No. PCT/..... (if furnishing declaration pursuant to Rule 26ter).

I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.

I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, and I have identified below, under the heading "Prior Applications," by application number, country or Member of the World Trade Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.

Prior Applications: .....

I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37 C.F.R. § 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**Name:** .....

**Residence:** .....  
(city and either US state, if applicable, or country)

**Mailing Address:** .....

**Citizenship:** .....

**Inventor's Signature:** .....  
(if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)

**Date:** .....  
(of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)

**Name:** .....

**Residence:** .....  
(city and either US state, if applicable, or country)

**Mailing Address:** .....

**Citizenship:** .....

**Inventor's Signature:** .....  
(if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)

**Date:** .....  
(of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)

This declaration is continued on the following sheet, "Continuation of Box No. VIII (iv)".

**Box No. VIII (v) DECLARATION: NON-PREJUDICIAL DISCLOSURES OR EXCEPTIONS TO LACK OF NOVELTY**

*The declaration must conform to the standardized wording provided for in Section 215; see Notes to Boxes Nos. VIII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (v). If this Box is not used, this sheet should not be included in the request.*

Declaration as to non-prejudicial disclosures or exceptions to lack of novelty (Rules 4.17(v) and 51bis.1(a)(v)):

This declaration is continued on the following sheet, "Continuation of Box No. VIII (v)".

**Continuation of Box No. VIII (i) to (v) DECLARATION**

*If the space is insufficient in any of Boxes Nos. VIII (i) to (v) to furnish all the information, including in the case where **more than two inventors are to be named** in Box No. VIII (iv), in such case, write "Continuation of Box No. VIII ..." (indicate the item number of the Box) and furnish the information in the same manner as required for the purposes of the Box in which the space was insufficient. If additional space is needed in respect of two or more declarations, a separate continuation box must be used for each such declaration. If this Box is not used, this sheet should not be included in the request.*

**Box No. IX CHECK LIST; LANGUAGE OF FILING**

This international application <b>contains</b> :	This international application is <b>accompanied by</b> the following item(s) ( <i>mark the applicable check-boxes below and indicate in right column the number of each item</i> ):	Number of items
<p>(a) <b>in paper form</b>, the following number of sheets:</p> <p>request (including declaration sheets) : _____</p> <p>description (excluding sequence listing and/or tables related thereto) : _____</p> <p>claims : _____</p> <p>abstract : _____</p> <p>drawings : _____</p> <p><b>Sub-total number of sheets</b> : _____</p> <p>sequence listing : _____</p> <p>tables related thereto : _____</p> <p><i>(for both, actual number of sheets if filed in paper form, whether or not also filed in computer readable form; see (c) below)</i></p> <p><b>Total number of sheets</b> : _____</p> <p>(b) <input type="checkbox"/> <b>only in computer readable form</b> (Section 801(a)(i))</p> <p>(i) <input type="checkbox"/> sequence listing</p> <p>(ii) <input type="checkbox"/> tables related thereto</p> <p>(c) <input type="checkbox"/> <b>also in computer readable form</b> (Section 801(a)(ii))</p> <p>(i) <input type="checkbox"/> sequence listing</p> <p>(ii) <input type="checkbox"/> tables related thereto</p> <p><b>Type and number of carriers</b> (diskette, CD-ROM, CD-R or other) on which are contained the</p> <p><input type="checkbox"/> sequence listing: . . . . .</p> <p><input type="checkbox"/> tables related thereto: . . . . .</p> <p><i>(additional copies to be indicated under items 9(ii) and/or 10(ii), in right column)</i></p>	<p>1. <input type="checkbox"/> fee calculation sheet : _____</p> <p>2. <input type="checkbox"/> original separate power of attorney : _____</p> <p>3. <input type="checkbox"/> original general power of attorney : _____</p> <p>4. <input type="checkbox"/> copy of general power of attorney; reference number, if any: . . . . . : _____</p> <p>5. <input type="checkbox"/> statement explaining lack of signature : _____</p> <p>6. <input type="checkbox"/> priority document(s) identified in Box No. VI as item(s): . . . . . : _____</p> <p>7. <input type="checkbox"/> translation of international application into (<i>language</i>): . . . . . : _____</p> <p>8. <input type="checkbox"/> separate indications concerning deposited microorganism or other biological material : _____</p> <p>9. <input type="checkbox"/> sequence listing in computer readable form (<i>indicate type and number of carriers</i>)</p> <p>(i) <input type="checkbox"/> copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application) : _____</p> <p>(ii) <input type="checkbox"/> (<i>only where check-box (b)(i) or (c)(i) is marked in left column</i>) additional copies including, where applicable, the copy for the purposes of international search under Rule 13ter : _____</p> <p>(iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the sequence listing mentioned in left column : _____</p> <p>10. <input type="checkbox"/> tables in computer readable form related to sequence listing (<i>indicate type and number of carriers</i>)</p> <p>(i) <input type="checkbox"/> copy submitted for the purposes of international search under Section 802(b-<i>quater</i>) only (and not as part of the international application) : _____</p> <p>(ii) <input type="checkbox"/> (<i>only where check-box (b)(ii) or (c)(ii) is marked in left column</i>) additional copies including, where applicable, the copy for the purposes of international search under Section 802(b-<i>quater</i>) : _____</p> <p>(iii) <input type="checkbox"/> together with relevant statement as to the identity of the copy or copies with the tables mentioned in left column : _____</p> <p>11. <input type="checkbox"/> other (<i>specify</i>): . . . . . : _____</p>	
<b>Figure of the drawings</b> which should accompany the abstract:	<b>Language of filing</b> of the international application:	

**Box No. X SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE**  
*Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).*

For receiving Office use only	
1. Date of actual receipt of the purported international application:	2. Drawings: <input type="checkbox"/> received:  <input type="checkbox"/> not received:
3. Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application:	
4. Date of timely receipt of the required corrections under PCT Article 11(2):	
5. International Searching Authority (if two or more are competent): <b>ISA /</b>	6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid

For International Bureau use only

Date of receipt of the record copy by the International Bureau:

〔備考〕

- 1 TITLE OF INVENTION は、短く（2語以上7語以内であることが望ましい。）かつ的確なものとする。
- 2 記載すべき出願人又は発明者のすべてを該当する欄に記載しきれないときは、「Further applicants and / or (further) inventors are indicated on a continuation sheet.」の前の 内にレ印を付し、続葉を用いて記載する。
- 3 「Applicant's registration No. with the Office」及び「Agent's registration No. with the Office」の欄には、識別番号をなるべく記載する。
- 4 「PRIORITY CLAIM」の欄には、優先権の主張に係る先の出願の表示を次により記載する。
  - イ 先の出願が「national application」（国内出願）の場合には、先の出願をした日付、先の出願の番号及び先の出願がされた国名を記載する。
  - ロ 先の出願が「regional application」（広域出願）の場合には、先の出願をした日付、先の出願の番号及び適用される広域特許の取決めに基づき広域特許を付与する権限を有する機関の名称（実際には、関連する広域官庁）を記載する。広域出願のうち、ARIPO特許を先の出願とする場合には、その出願を行つたパリ条約同盟国又は世界貿易機関の少なくとも1か国の国名を記載する。
  - ハ 先の出願が「international application」（国際出願）の場合には、国際出願日、国際出願番号及び出願がされた受理官庁名を記載する。
- 5 「CHECK LIST」の「Language of filing of the international application」の項には、受理官庁が認める言語のうち国際出願に使用した言語を「English」のように記載する。
- 6 「SIGNATURE OF APPLICANT, AGENT OR COMMON REPRESENTATIVE」の欄には、すべての出願人が代理人を選任した場合には、その代理人が記名し、署名をする。代理人が選任されない場合には、少なくとも一人の出願人が記名し、署名をする。
- 7 第50条の3第2項の規定により磁気ディスクを願書に添付するときは、次の要領で記載する。
  - イ 「9. sequence listing in computer readable form (*indicate type and number of carriers*)」の 内にレ印を付すとともに、「(i) copy submitted for the purposes of international search under Rule 13ter only (and not as part of the international application) : 」の 内にレ印を付し、媒体の種類及び数を記載し、「(iii) together with relevant statement as to the identify of the copy or copies with the sequence listing mentioned in left column : 」の 内にレ印を付し、陳述書の数を記載する。
  - ロ 「11. other(*Specify*) :..... : 」の 内にレ印を付すとともに、点線上に「Information Such as Recording Form of Magnetic disk」と記載し、その書面の数を記載する。
  - ハ 「Statement」は、原則として次の文例により作成する。「Identification of International Application」の項目は、様式第1の2の備考3に従つて記載する。  
(文例)

STATEMENT

TO : Commissioner of the Patent Office

It is hereby stated that the text data of the nucleotide and/or amino acid sequence(s) recorded on the magnetic disk is identical to the nucleotide and/or amino acid sequence(s) written in the specification.

Date,

Identification of International Application:

Title of Invention:

Applicant (Agent):

Signature

(印)

- 二 「Information Such as Recording Form of Magnetic disk」は、原則として、「Applicant」、「Agent」、「Identification of the International Application」、「Title of Invention」、「Character Code」、「File Name」及び「Contact Person (Tel (Fax), Name)」の項目を設けて記載することにより作成する。
- 8 その他は、様式第1の備考1、2、4、9、13から15まで、20及び21、様式第1の2の備考1、2、4及び7並びに様式第7の備考1から5まで、9、13及び15から17までと同様とする。